



COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of :
Neil SHANMAN et al. :
Serial No. 09/294,461 :
Filed: April 19, 1999 :
For: SYSTEM AND METHOD FOR THE :
TARGETED DISTRIBUTION OF :
DISCOUNT COUPONS OVER A :
NETWORK : Docket No. S012-3653

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COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION AND REQUEST FOR FEE REFUND AND
ISSUANCE OF CORRECTED FILING RECEIPT**

S I R:

On May 7, 1999, the PTO issued a Notice To File Missing Parts Of Application ("Notice") advising that the application was filed without a declaration and providing a two-month period in which to submit the declaration and surcharge for late filing thereof.

In response to the PTO Notice, a duly signed declaration is submitted herewith together with a copy of the PTO Notice.

MAILING CERTIFICATE ON
LAST PAGE

Also submitted herewith is a verified statement claiming small entity status by an independent inventor and, as the verified statement is being filed within two months of the date of payment of the filing fee, the verified statement relates back to the filing date of the application pursuant to 37 CFR §1.28(a). Applicants respectfully request a refund of the excess amount paid when filing the original application, and request that the refund be credited to Deposit Account No. 01-0268.

Accordingly, the surcharge for late filing of the declaration is \$65.00 and a check in the sum of \$65.00 is submitted herewith to cover the surcharge for the separate filing of the declaration.

Along with the PTO Notice, the PTO forwarded the filing receipt which lists the inventors as "Bruce L. Adams". A copy of the filing receipt is enclosed. This name is incorrect, and the correct names of the inventors are "Neil Shanman" and "Irwin Pearl" as evidenced by the enclosed declaration and copy of the transmittal letter which accompanied the application at the time of filing. Request is hereby made that the correct names of the inventors be made of record at the PTO and that a corrected filing receipt be issued reflecting the correct names of the inventors.

It is respectfully requested that the processing of the application be completed, and that a corrected filing receipt be issued in due course.

Respectfully submitted,

ADAMS & WILKS
Attorneys for Applicants

By: 

Bruce L. Adams
Reg. No. 25,386

50 Broadway
31st Floor
New York, NY 10004
(212) 809-3700

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20331, on the date indicated below.


Bruce L. Adams

Attorney Name


Signature

JUNE 17, 1999

Date

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/294,461	04/19/99	2761	\$760.00	S012-3653	8	13	1

BRUCE L ADAMS
ADAMS & WILKS
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

~~BRUCE L. ADAMS, NEW YORK, NY.~~



NEIL SHANMAN, THOUSAND OAKS, CA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/06/99

TITLE

SYSTEM AND MEHTOD FOR THE TARGETED DISTRIBUTION OF DISCOUNT
COUPONS OVER A NETWORK

PRELIMINARY CLASS: 705

DATA ENTRY BY: BATIE, MARGARET

TEAM: 01 DATE: 05/06/99



(see reverse)



DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND METHOD FOR THE TARGETED DISTRIBUTION OF DISCOUNT COUPONS OVER A NETWORK

the specification of which (check one); ☐ is attached hereto; ☒ was filed on April 19, 1999 as Application Serial No. 09/294,461 and was amended on (or amended through) (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

			Priority Claimed	
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status - Patented, Pending or Abandoned)
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(Application Serial No.)	(Filing Date)	(Status - Patented, Pending or Abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY

I (we) hereby appoint Bruce L. Adams, Registration No. 25,386, Van C. Wilks, Registration No. 25,027 and Franco S. De Liguori, Registration No. 36,497 whose post office address is: Adams & Wilks, 50 Broadway, 31st Floor, New York, New York 10004, as my (our) attorneys with full power of substitution and revocation, to prosecute this application, and to transact all business in the United States Patent and Trademark Office connected therewith.

Full Name of First or Sole Inventor	Citizenship
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Date	Signature
4-27-99	

☒ See second page for additional joint inventors.

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Date June 9, 1999	Signature <i>[Signature]</i>

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Date	Signature

Fourth Joint Inventor, if any	Citizenship
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State or Country	State or Country
Date	Signature

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City (Zip)	City (Zip)
State or Country	State or Country
Date	Signature